



SECTOR 1#

Patent
252/029

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Anna P. Catania and James M. Lipton

Serial No.: 09/533,341

Filed: March 23, 2000

For: **Antimicrobial and Anti-inflammatory
Peptides for Use in Human
Immunodeficiency Virus**

) Group Art Unit: 1642
)
)
) **Examiner:** Not Yet Assigned
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TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR § 1.53(b)**, which was mailed by the Patent Office on June 05, 2000, enclosed are:

- ☒ Combined Declarations and Powers of Attorney
- ☒ Assignments of the invention to Zengen, Inc. (together with PTO Form 1595)
- ☒ A Verified Statement to establish "Small Entity Status" under 37 CFR §§ 1.9 and 1.27

LA-156254.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

8-4-00
Date of Deposit

Samuel N. Tiu
Samuel N. Tiu

☒ A copy of the Notice to File Missing Parts of Nonprovisional Application

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$190.00	\$380.00
<input type="checkbox"/> three months	\$435.00	\$870.00
<input type="checkbox"/> four months	\$680.00	\$1,360.00
<input type="checkbox"/> five months	\$925.00	\$1,850.00

Fee

☒ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

BASIC FILING FEE:						\$690.00
Total Claims	14	-	20	=	0 x \$18.00	\$0.00
Independent Claims	4	-	3	=	1 x \$78.00	\$78.00
Multiple Dependent Claims	\$260	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS						\$898.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						\$449.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						\$240.00
TOTAL FEES SUBMITTED HERewith						\$689.00

IV. METHOD OF PAYMENT OF FEES:

☒ A check in the amount of \$689.00 is enclosed to cover the above fee(s).

☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of _____.

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 8/3/00

By: Michael J. Wise
Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

FORMALITIES LETTER



OC000000005156763



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/533,341

03/23/2000

Anna P. Catania

22249
LYON & LYON LLP
SUITE 4700
633 WEST FIFTH STREET
LOS ANGELES, CA 90071-2066



Date Mailed: 06/05/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$78.
 ■ \$78 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 898.

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/10/2000 BHABTEW 00000063 09533341

01 FC:201	345.00 OP
02 FC:202	39.00 OP
03 FC:205	65.00 OP
04 FC:199	200.00 OP

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JUN 08 2000
U.S. PROSECUTION